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Tsukuni & Associates

Patents • Utility Models • Trademarks • Designs • Licenses

CONFIRMATION

Tokyo, October 6, 2008
Y/ref. (case): BT/3-22349/JP
O/ref.: PCTG-2741
Total page(s): 2 (cover letter)
Sent by: Fax (conf. by airmail)
Sender: S. Matsuda (Ms.)
Responsible: K. Tsukada (Ms.)
(Patent Attorney)

Ciba Holding Inc.
Group Service Law & Environment
Intellectual Property
P.O. Box
CH-4002 Basel
SWITZERLAND

Attn.: _____

WT/3-22349 / A / JP

Fremdbez.:
Patentanwalt: Mann C.
Account 44519000

✓ PATA
PATH
PA

14.10.2008

PON: 3100025579
RC: 1000000160

RE: JP Patent Application No.: 2006-541836
Applicant(s)/Assignee(s): Ciba Specialty Chemicals Water Treatments Limited
Action to be taken: none
Official due date: none

Dear Sir(s)/Madam(s):

We would enclose herewith the following document(s)/information.

Your reply: ☐ required by _____ (our in-house due) for ☐ comments/☐ instructions
☒ not required (for your information only)

<input type="checkbox"/> Filed document(s)	<u>copy(s)</u>	<input type="checkbox"/> Official Order	<u>copy(s)</u>
<input type="checkbox"/> Eng. translation (front page)	<u>copy(s)</u>	<input type="checkbox"/> Eng. translation (full)	<u>copy(s)</u>
<input type="checkbox"/> Official Filing Receipt	<u>copy(s)</u>	Dispatch date:	
Patent Application No.		<input type="checkbox"/> Decision for Grant a Patent	<u>copy(s)</u>
Filing date:		<input type="checkbox"/> Eng. translation (front page)	<u>copy(s)</u>
<input type="checkbox"/> Publication ()	<u>copy(s)</u>	Dispatch date:	
Laid-open No.		<input type="checkbox"/> Reply form (for annuities)	<u>sheet(s)</u>
Published date:		<input type="checkbox"/> Certificate of Patent	<u>copy(s)</u>
<input checked="" type="checkbox"/> Office Action/Communication	<u>1 copy(s)</u>	<input type="checkbox"/> Eng. translation (front page)	<u>copy(s)</u>
<input type="checkbox"/> Eng. translation (full)	<u>copy(s)</u>	Patent No.	
<input checked="" type="checkbox"/> Notification of Reasons for Rejection		Registration date:	
<input type="checkbox"/> Decision of Rejection		<input type="checkbox"/> Statement of Payment	<u>copy(s)</u>
<input type="checkbox"/> Written Questioning		<input type="checkbox"/> Reply form (Rep.form)	<u>sheet(s)</u>
<input type="checkbox"/> others/ Information sheets (extension)		<input type="checkbox"/> Form(s) (Power etc.)	<u>set(s)</u>
Dispatch date: September 30, 2008		<input checked="" type="checkbox"/> Debit Note (with 1 copy(s))	<u>1 set(s)</u>
<input checked="" type="checkbox"/> Our comments	<u>1 sheet(s)</u>	<input type="checkbox"/> [REPORT on prosecution]	<u>page(s)</u>
<input checked="" type="checkbox"/> Publication 1	<u>1 set(s)</u>		

Very truly yours,
Tsukuni & Associates

Koshiro TSUKADA

¥ 12,300. —

KT/re/sm
Encls.:

Address: SVAX TS Bldg., 22-12 Toranomon 1-chome, Minato-ku, Tokyo 105-0001 Japan
Telephone: national(03)3502-7211; international 81(3)3502-7211
Facsimile: 81(3)3502-7218; 81(3)3502-7219(Group III); 81(3)5512-7694(Group IV)

Our Comments:

Regarding the above-identified patent application, we have received a Notification from the Japan Patent Office informing that the third party (anonymously) has offered information regarding the patentability of this application to the Examiner by submitting an Information Offer Form (submitted on August 20, 2008)*. In the Information Offer Form, the observations are presented concerning the patentability of the invention including a statement of the grounds on which they are based.

*)Under the Japan Patent Law, before a patent is granted, a third party can offer information regarding the patentability to an Examiner by submitting an Information Offer Form. The Information Offer Form can be submitted anonymously if necessary, or a dummy (a straw-man). Whether or not the offered information will be utilized in the examination is at the Examiner's discretion.

We are enclosing a copy of the Notification (dispatched on September 30, 2008) and the Information Offer Form (submitted on August 20, 2008), and a copy of Publication 1 as attached to the Information Offer Form.

Publication 1: Internaitoanl Publication No. WO 03/080680

03/080680
US 2005/0133421

The observations state that the claimed invention is not patentable since it is anticipated by or obvious over Publication 1, which was submitted with the observations.

We will keep you apprised of any events as soon as they occurred.

(end)

IAP04Rec'd PCT 20 OCT 2008

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Andrea DeCecchi
Type or print name

Andrea DeCecchi
Signature

10/16/08
Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PCT NATIONAL STAGE APPLICATION OF
STUART GREENHALGH ET AL.

Group Art Unit:

Examiner:

INTERNATIONAL APPLICATION NO. PCT/EP 04/013250

FILED: November 22, 2004

FOR: PROCESS OF PRODUCING POLYMERS

U.S. APPLICATION NO: 10/580,447

35 USC 371 DATE: MAY 23, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

FEE LETTER FOR INFORMATION DISCLOSURE STATEMENT

Sir:

Please charge Deposit Account No. 03-1935 in the amount of \$180.00 in payment of the fee pursuant to 37 CFR 1.17(p) for the submission of an Information Disclosure Statement under 37 CFR 1.97(c).

The Commissioner is hereby authorized to charge any additional fees required, or to credit any overpayment, to Deposit Account No. 03-1935.

A triplicate copy of this letter is provided for charging purposes.

Respectfully submitted,

Shiela A. Loggins

Shiela A. Loggins
Agent for Applicants
Reg. No. 56,221

Ciba Corporation
Patent Department
540 White Plains Road
P.O. Box 2005
Tarrytown, NY 10591-9005
(914) 785-2768

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Andrea Delecchi's
Type or print name

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Date

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IN RE PCT NATIONAL STAGE APPLICATION OF
STUART GREENHALGH ET AL.
INTERNATIONAL APPLICATION NO. PCT/EP 04/013250
FILED: November 22, 2004
FOR: PROCESS OF PRODUCING POLYMERS
U.S. APPLICATION NO: 10/580,447
35 USC 371 DATE: MAY 23, 2006

Group Art Unit: 1651
Examiner: Sheridan R. Macauley

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 CFR 1.56, Applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

All of the references cited are either U.S. Patents, U.S. Published applications or have a U.S. equivalent, therefore, copies are not being supplied.

Enclosed herewith is a copy of a communication/document in a counterpart foreign application all other references mentioned in said communication/document have already been cited.

A letter for payment of fee set forth in 37 CFR 1.17(p) is also enclosed.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).

Respectfully submitted,



Shiela A. Loggins
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Reg. No. 56,221

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